

How you can achieve

Comprehensive Compliance



About Us

Founded in 2008 with offices in Montréal, Calgary, Toronto, and Shanghai.

Nimonik services over 700 customers around the world, especially mid-size international companies.





We are not ~~EHS management software or just a content provider or simply an audit tool.~~

Nimonik is an integrated compliance solution for regulations, standards and internal requirements.



Agenda

1

Why compliance matters

2

How to get there

3

Product demonstration

Agenda

1

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Product demonstration

Road to Compliance Maturity

1 **Reactive**
Focus on putting out fires

2 **Inconsistent**
Piecemeal approach

3 **Minimal Management**
Supervisor led

4 **Integrated**
Team based compliance

5 **Proactive**
Forward thinking

Surprises abound

Most organisations do not know all of their obligations, leading to “surprises”...

Across various topics (EHS, Quality, HR, safety,...) a typical factory **3,000+ obligations and 200+ changes per year.**

Each non-compliance costs \$5,000+ in internal costs.

Frequency of change 3x

Regulatory change has increased 3x in five years.

Organizations have not increased staff or updated processes to manage regulatory change.

The Four Pillars of Compliance

IMPACT

Prevention

Risk profile
Historicals
Monitoring

1

Detection

Early detection
Self-reporting
Internal Audits

2

Response

Fast response
Data analysis
Action plans

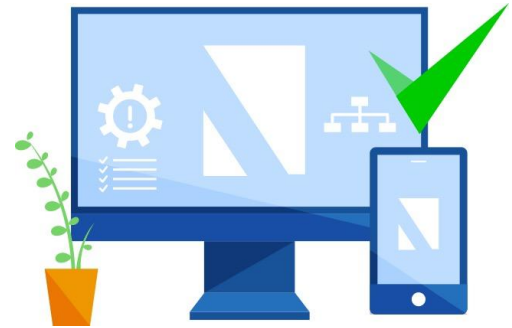
3

Correction

Actions
Corrective
Effectiveness

4

Organisations can operate
free of compliance issues.



A Comprehensive Compliance program captures all of your **Obligations** across your **areas of compliance**:



...and **Sources**:

Regulatory, standards, contracts,
permits, stakeholders, customers,...



...and enables timely management of **Actions and Audits**.



Obligations

External obligations from regulations & standards

Internal obligations from your files, permits and procedures.



Actions

External actions when regulations & standards change.

Internal actions issued by your team to come into compliance.

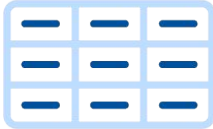


Audits

Inspect your facilities based on your Obligations.

Verify for action completion and effectiveness of those

Resilience



Comprehensive Obligations

- 360° Coverage
- Obligations mapped to systems



Comprehensive Assurance

- Audit ready
- Actions tracked



Business Outcomes

- Increased trust
- Fewer surprises

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Traditional Compliance Approaches



In-house

- Inconsistency
- Loss of institutional knowledge
- Time consuming



Consultants

- Compliance gaps & variability in work
- Expensive



Enterprise

- Integration is complex and can take years
- Expensive to build & maintain

Vs

Nimonik App



Just the right solution
not too big not too small

Nimonik Solution Architecture: Flexible and Scalable

1



Obligations

**Document
Level**

500,000 external documents
+ Internal documents

**Clause
Level**

250,000 identified obligations
Obligations in internal documents

2



Audits

**Web &
Mobile Audits**

Convert obligations and actions into audits
Inspect & collect evidence

3

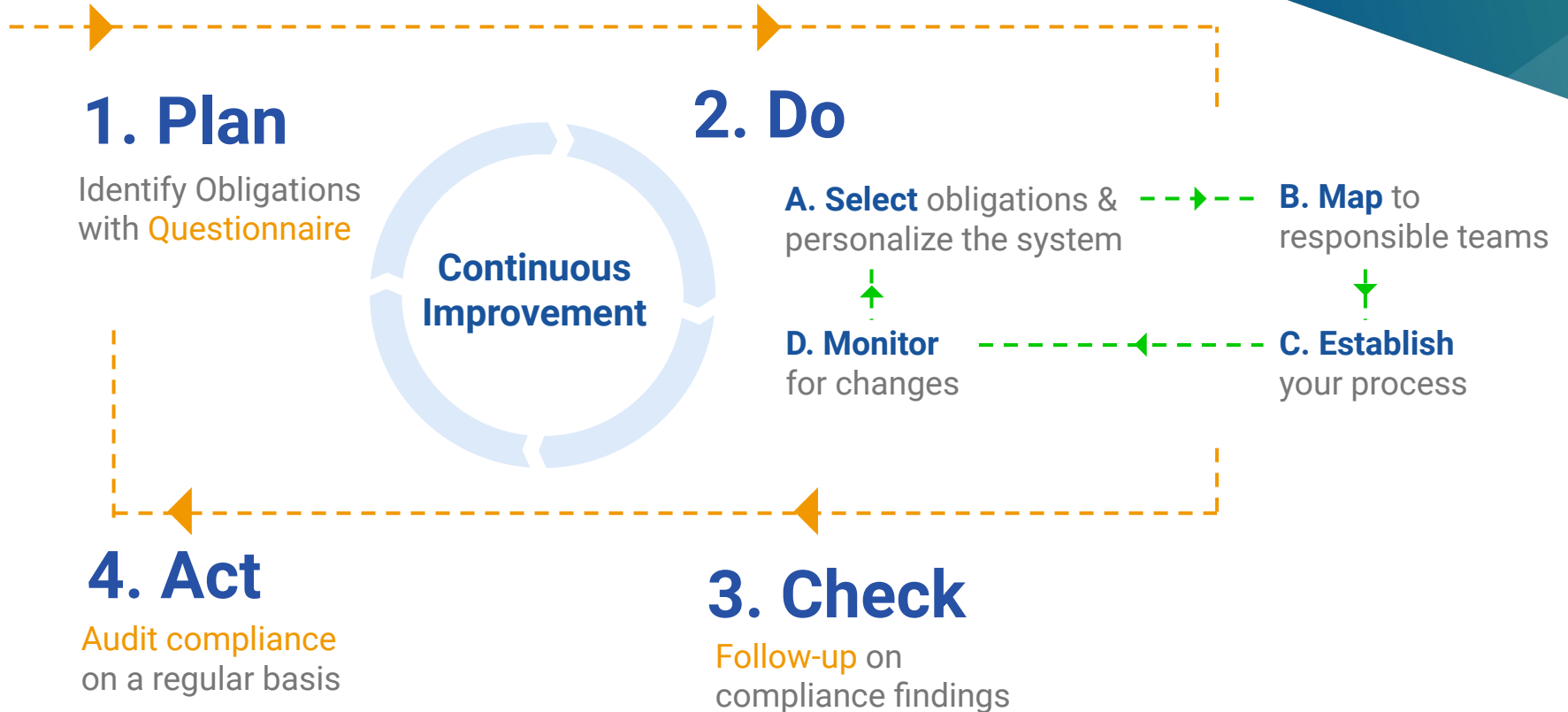


Actions

**Action
Management**

Actions when obligations change
Actions you create

Continuous Comprehensive Compliance: Nimonik Implementation



Elements for Success: Nimonik Implementation

Management

Understands proactive compliance is an investment



Project Lead

Can prioritize implementation and has the necessary support

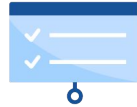
Team

Recognizes the benefit of a compliance system and has time for training

Key Benefits of Compliance with Nimonik



Create essential compliance records to prove Due Care Process



Centralize your internal & external compliance obligations and personalize the system



Rapidly report to management and colleagues



Facilitate collaboration across the business

Nimonik Product Journey

1

Newsletter

Receive updates on regulations and standards

2

Library

Consult a database of regulations and standards

3

Registers

Build a register of applicable documents

4

Controls

Link applicable documents to risk and controls

5

Audit

Inspect and audit your operations for compliance



Calgary

Montréal
HQ

Shanghai

Thank you!

Nimonik offices
Solution Partners

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NimonikApp Outline



- 1 Facilities
- 2 Compliance Library - External Obligations
- 3 Registers : Document Level & Clause Level
- 4 Obligations in Permits and Corporate Documents
- 5 Regulatory Actions
- 6 Internal Actions
- 7 Audits & Inspections
- 8 Reports

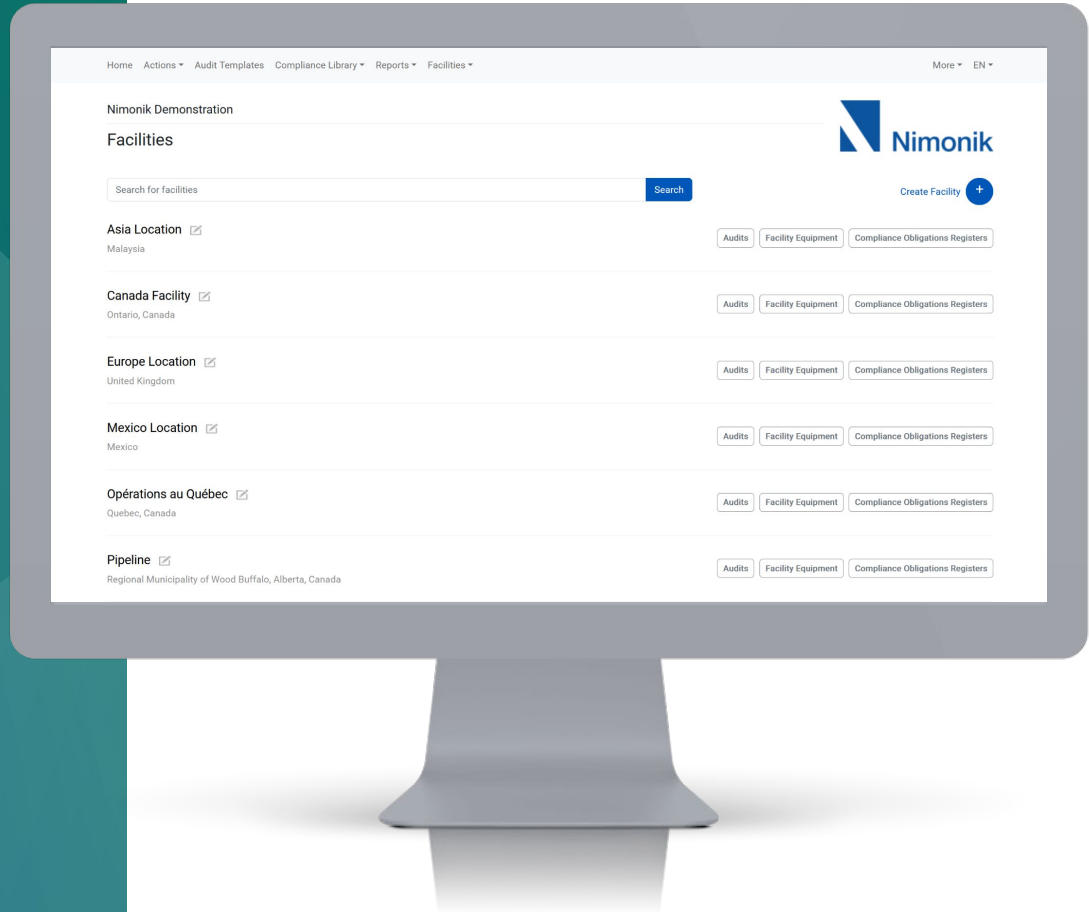
Nimonik App



Facilities

Identify your physical operations and outline Obligations, Actions and Audits for each location

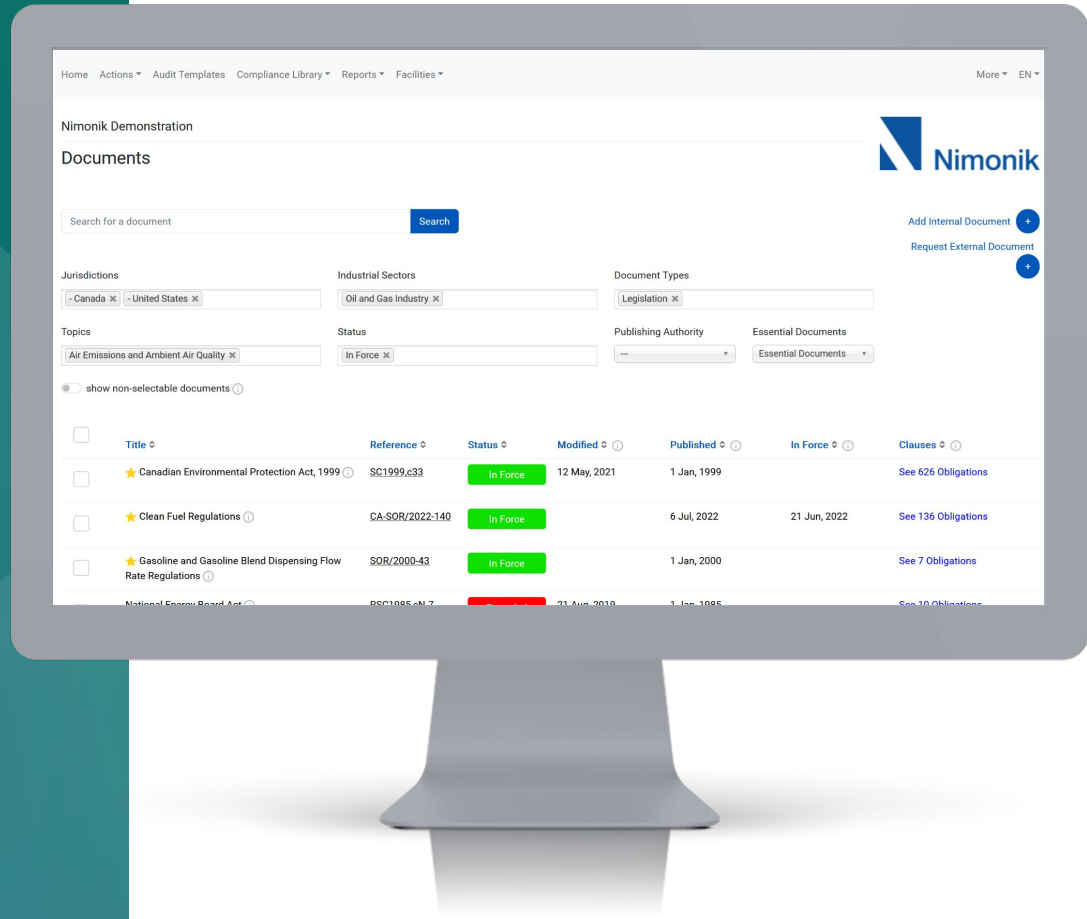
Control access by business unit, region or facility



Library of External Obligations

Search for applicable documents in our comprehensive database of 500,000+ laws, regulations, standards and guidelines

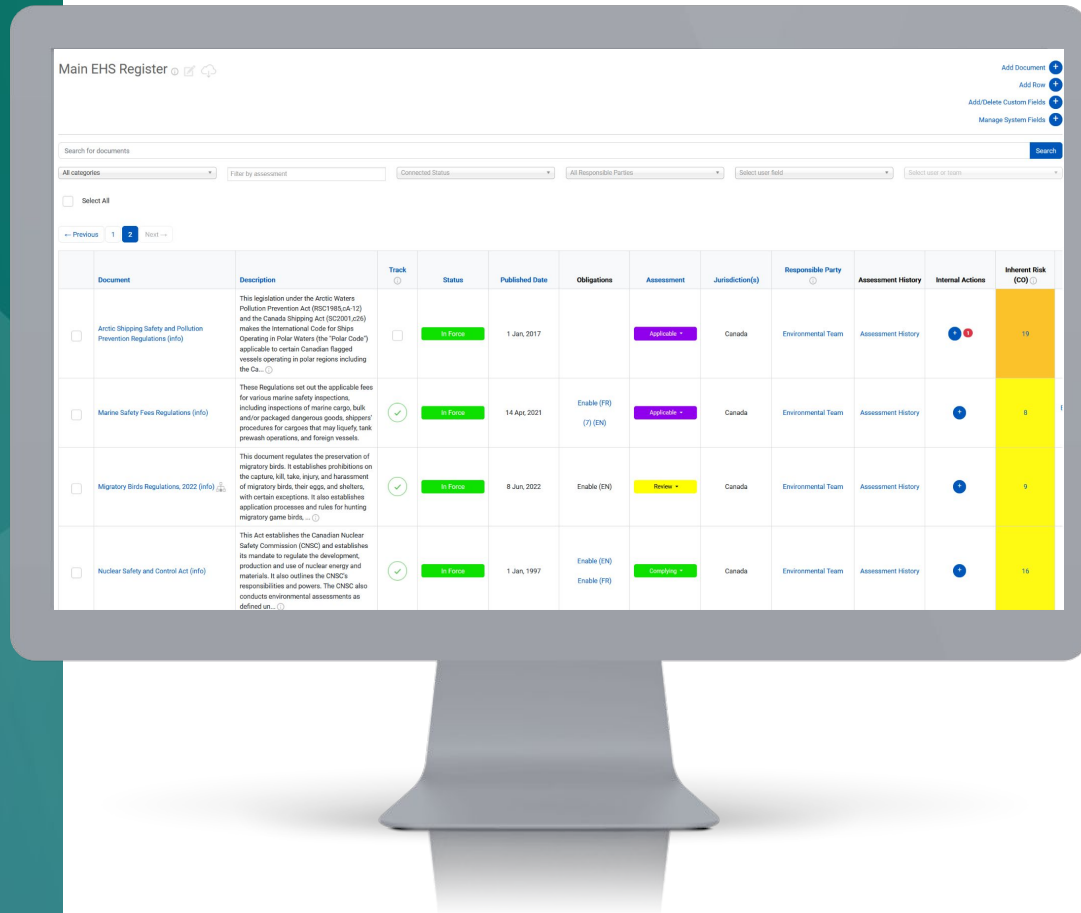
Organized by topic, industry, equipment and jurisdiction



Compliance Obligation Registers: Document Level

Add applicable documents to your Registers

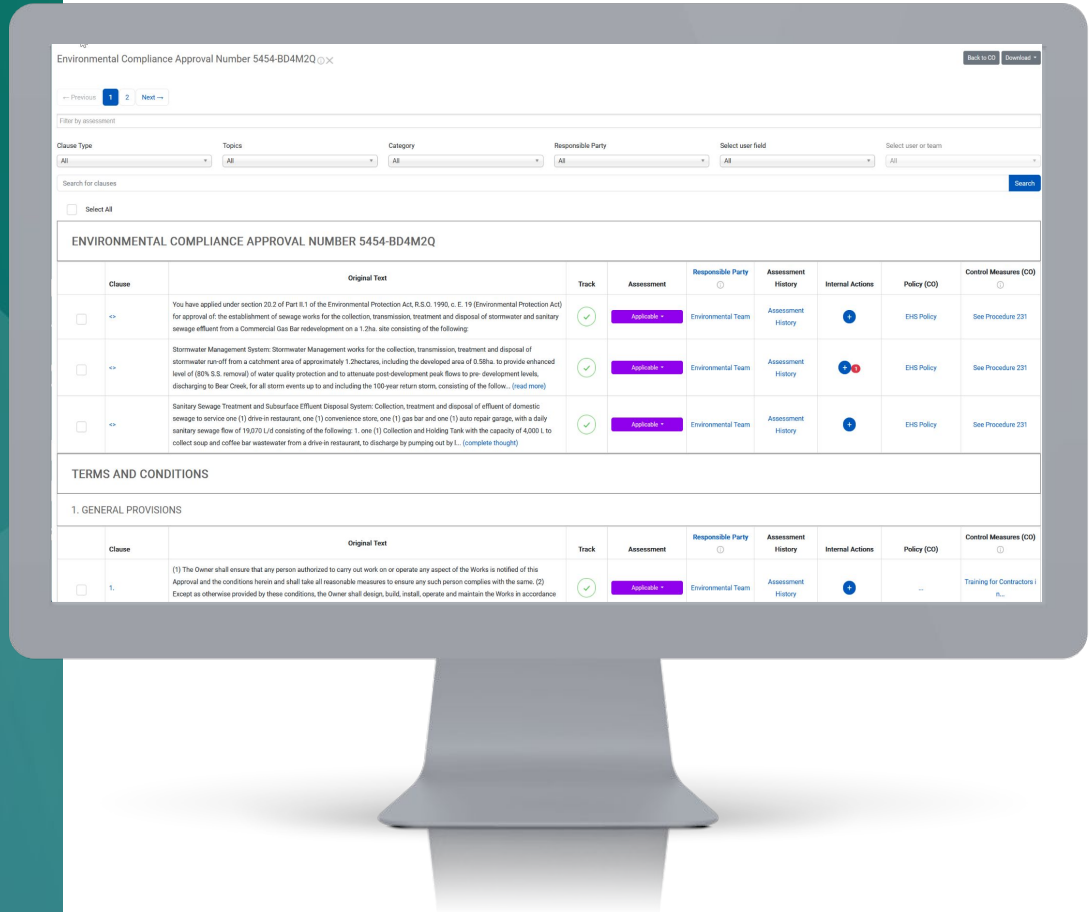
Assess your compliance, leave notes, add custom fields and create Actions



Permits, Policies and Corporate Documents

Nimonik extracts obligations from your Internal Documents:

Permits, Stakeholder Engagement, Contracts and more



Compliance Obligation Registers: Clause Level

Select specific Obligations from
Laws, Regulations, Guidelines
and Standards

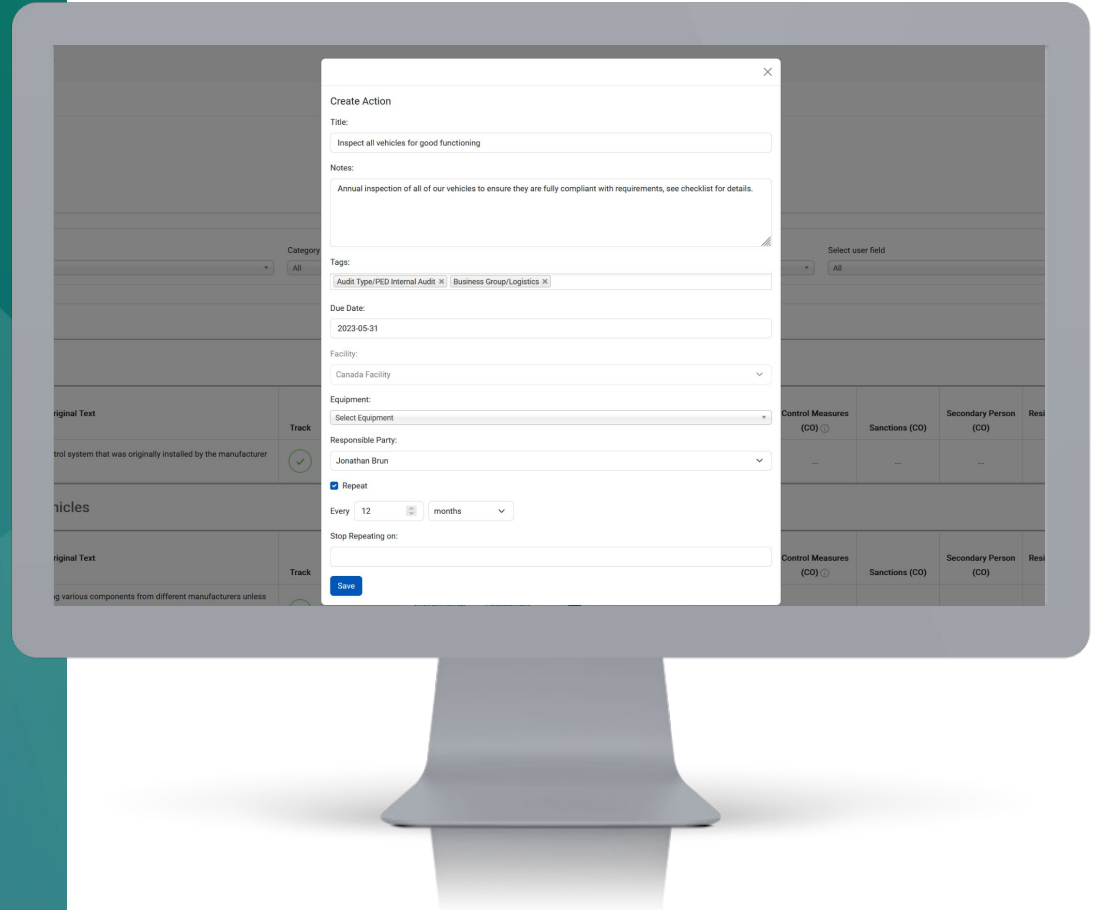
Receive alerts when obligations
change or new are introduced.

Convert Obligations to
Audit Templates and Checklists

Clause Type		Topics		Category	
Obligation		All		All	
Search for clauses					
<input type="checkbox"/> Select All					
Original emission control systems					
Clause	Original Text	Track	Assessment	Responsible Party	Assessment History
<input type="checkbox"/> 3. (1)	A motor vehicle must retain the whole emission control system that was originally installed by the manufacturer and the system must operate as originally intended.	<input checked="" type="checkbox"/>	Complying	Environmental Team	Assessment History
Emission control systems for assembled vehicles					
Clause	Original Text	Track	Assessment	Responsible Party	Assessment History
<input type="checkbox"/> 4.	A motor vehicle must not have been assembled using various components from different manufacturers unless the engine of the motor vehicle has the whole emission control system that was originally installed by the manufacturer of the engine and the system is in good working order.	<input checked="" type="checkbox"/>	Review	Environmental Team	Assessment History
Malfunction indicated					

Issue Actions

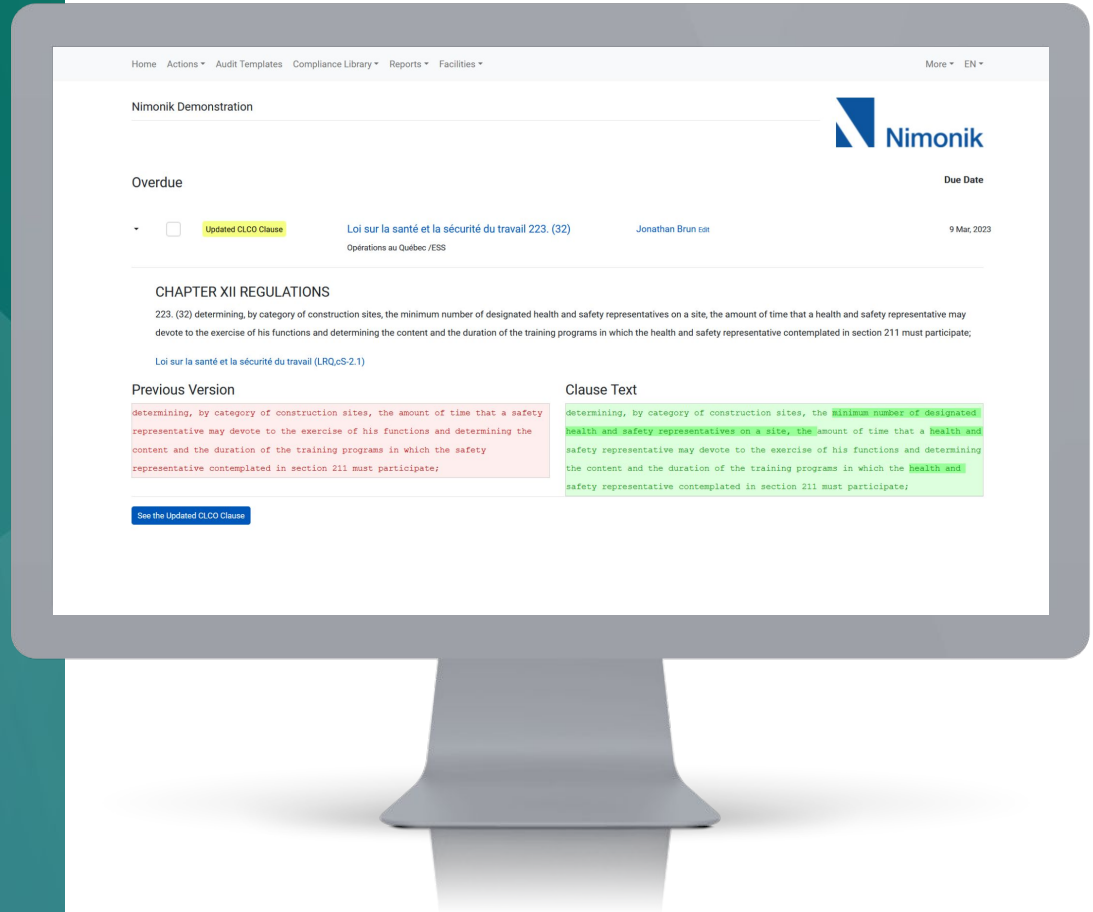
Issue Actions based on audits, compliance assessments, equipment validation or any other item that requires an action.



External Actions

Receive action items when laws, regulations, standards and other documents are changed by their publishers.

Actions are sent to responsible people and teams. Reviews are logged in the system.



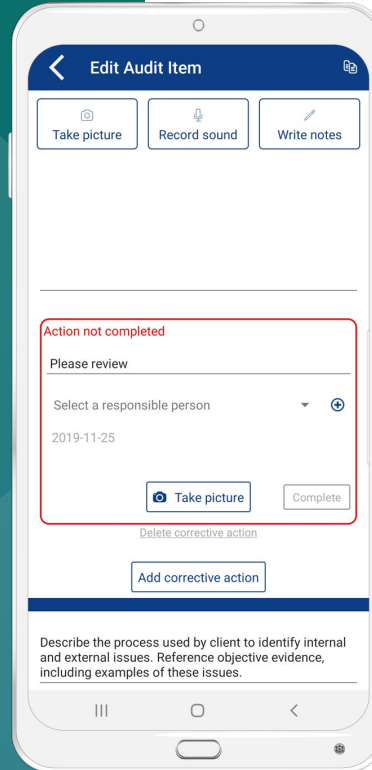
Audit on the go

Rapidly build your Audit Templates & attach reference documents

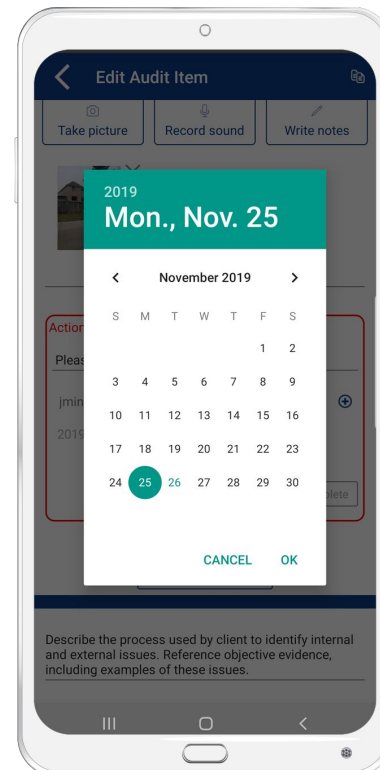
Schedule and conduct audits on the web and on Apple and Android devices

Synchronize Audit results and issue reports

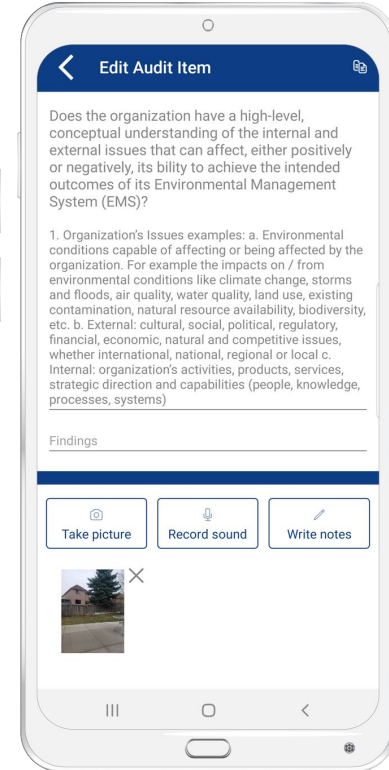
Issue Actions



Assign due dates



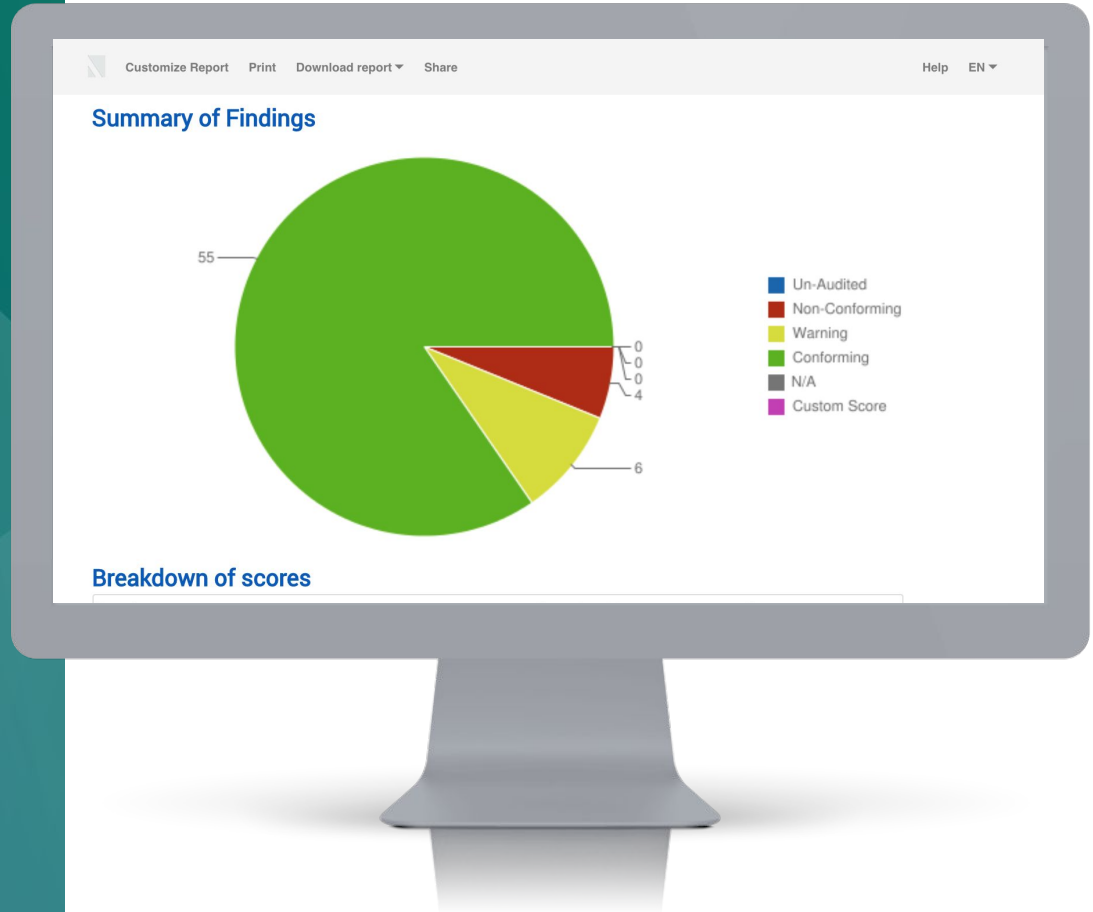
Attach evidence



Compliance Reports

Generate reports to benchmark your compliance status

Compare facilities, teams and track down problematic items across your organisation





Single Sign On



Penetration
Tests



Encrypted
data

Data Security

Data security is a top priority at Nimonic. Nimonic has a robust information security management system based on ISO 27001.

Appendix

1

Additional Features

2

Regulatory Compliance

Nimonik Solution Features



Up-to-date and comprehensive regulatory documents



Action items are issued to your team when new or changed regulations are issued



Receive easy to understand summaries of changes



Access regulatory documents at all times



Issue action items to your colleagues and partners



Complete log of compliance efforts



Powerful reports for management



Audit protocols for over 200 jurisdictions



Mobile and web based audit tools

3% of laws and regulations
are updated every year



The regulatory body tends
to **grow by 2% a year**





500,000 documents
+
Audit Templates

1. Filters

173 EHS Topics
8 Industries
Document Status
Document Type
2,000 Types of
Equipment

2. Implementation

Collect organizational
documents (Audits,
spreadsheets, ...) +
Screening Questionnaire

3. Obligations

Documents
Clauses

Apply
Risk
Ranking

1. Audits

Regulatory
Safety
Quality...



5. Reports

Obligations
Actions
Audits

4. Actions & Controls

Assessment
SOPs
Controls...

Unique Compliance Obligations

Facility 1

Environmental 1
Health and Safety

Facility 2

Environmental 2

1. Unique Compliance Obligations
2. Duplicate of applicable documents
3. Each Facility analyze obligations separately, creating unique records

Shared Compliance Obligations

Facility 1

Facility 2

-----> Environmental 1

1. One Compliance Obligations
2. Changes by one Facility affects other Facilities
3. One record of compliance assessments shared across Facilities

Connected Compliance Obligations

Facility 1

Environmental 1 --> Environmental 1 +
Facility Specific Data

Facility 2

1. Parent - Child Relationships
2. Parent selects applicable documents first and sends specific documents to specific Facilities
3. Each Facility analyzes obligations separately, creating unique records

Nimonik Implementation

①

Obligations

A **Comprehensive Compliance Workshop** to map your operations develop a compliance framework

②

Actions

Determine your change management workflow
Identify responsibilities in your organisation and issue Action items to close non-compliance issues
Issue actions for internal documents and schedule Audits

③

Audits

Audit your facilities against regulatory obligations and your other obligations.
Generate short and long term compliance actions
Generate reports for your management and colleagues

The Demands of Regulatory Compliance

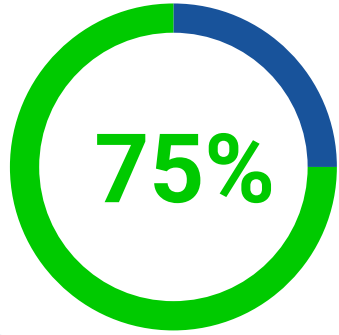
- An average facility has over 3,000 compliance obligations in laws, regulations and standards
- Thousands of laws and regulations are changed around the world every day
- It can be difficult to know what a law's intent is or when it may come into force
- Laws and regulations are in local languages
- Regulations often depend on guidelines and other documents
- Many jurisdictions do not consolidate laws and regulations
- Not all regulations are available online or easy to find

Implementation Costs

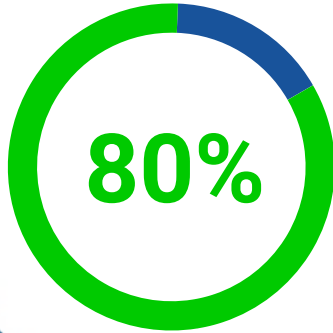
- People who know the facility respond to Questionnaire (5-10 hours per Type of Facility)
- Identification of internal obligations (varies a great deal)
- People work to filter lists (5 hours per jurisdiction)
- SME review legislation (5-10 hours per jurisdiction)
- Updates (2-8 hours per month per jurisdiction)

Compliance Topics

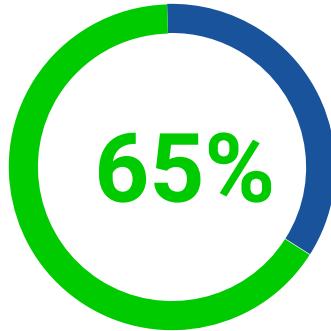
- Environmental
- Occupational Safety
- Public Safety
- Finance
- CyberSecurity
- Privacy
- Bribery
- Other...



75% of all operations still monitor regulatory obligations manually with minimal staff



80% of the 43 countries Nimonik monitors are planning to issue new EHS regulations this year



A software solution is critical to a successful compliance program

65% of an organization's costs for monitoring regulations can be reduced with a software solution

